## LTE Essential Patents Data 2Q 2012

LTE Essential Patent Candidates Data 2Q 2012 is a product of TechIPm, LLC (www.techipm.com) based on LTE patents research for US market leader among LTE UE (cellular phones, smart phones, PDAs, mobile PCs, etc.) and base station (eNB) product manufactures. LTE Essential Patent Candidates Data provides assignee, patent (publication) number, prosecution status for published applications, related 3GPP TS36 specifications, and the key technology components for an implementation of the products.

## Methodology

- 1. Search for LTE related patents.
  - Search the current USPTO database for published and issued patents as of 2Q 2012
  - Search the ETSI database for LTE standard specifications
  - 3GPP Release 8 for technical specifications (Release 10 for carrier aggregation specifications) for the LTE RAN (Radio Access Network):
  - PHY: TS 36.211, 212, 213
  - L2/L3 Protocols: TS 36.321, 322, 323, 331, 304
  - \* LTE RAN products: LTE UE (cellular phones, smart phones, PDAs, mobile PCs, etc.) and base station (eNB) baseband modem and radio SW products
- 2. Review the searched patents for essential patent candidates.
  - Review the patents in portfolio
  - Categorize the identified patents through the evaluation process by technology in the standard specifications

Key technology components for an implementation of the LTE baseband modem: OFDM/OFDMA (Frame & Slot Structure, Modulation), SC-FDMA (PUSCH, PUCCH), Channel Estimation (UL RS, DL RS, CQI), Cell Search & Connection (PRACH, DL SS), MIMO (Transmit Diversity, Spatial Multiplexing), Resource Management (Resource Allocation, Scheduling), Coding (Convolution, Turbo), Power Control, HARQ, and Carrier Aggregation.

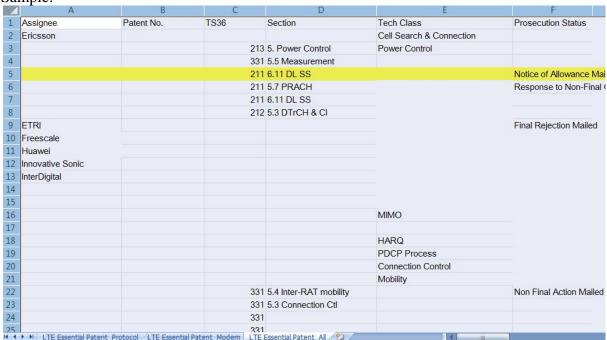
Key technology components for an implementation of the LTE radio protocol: Random Access, HARQ, Channel Prioritization, Scheduling (Dynamic, SPS), Protocol Format (PDUs, SDUs), Radio Link Control (ARQ), PDCP Process (SRB, DRB, ROHC), Security (Ciphering, Integrity), System Information, Connection Control, Mobility (Handover, Inter-RAT, Measurements), and Carrier Aggregation.

- Evaluate the level of essentiality
  - Essentiality Index (EI):
  - E1 : Patent disclosure is weakly related to LTE technical specifications
  - E2 : Patent disclosure is partially related to LTE technical specifications
  - E3: Patent disclosure is related to LTE technical specifications overall
  - E4: Patent disclosure is strongly related to LTE technical specifications
  - \*To be a potential essential patent candidate, EI should be E3 or E4.

## **Deliverables**

MS excel file for essential patent candidates (patent number, standard specification section number, technology category, and prosecution status for essentiality level E3 or E4).

Sample:



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